

#7 3/21/01  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2877

Examiner: Mr. Michael P. Mooney

In Re PATENT APPLICATION OF

Applicants : Satoru TOMARU et al.

Appln. No. : 09/272,303

Filed : March 19, 1999

For : OPTICAL WAVEGUIDE AND  
METHOD FOR PRODUCTION  
THEREOF

Atty. Dkt. : 32307-147486

**INFORMATION  
DISCLOSURE  
STATEMENT**

March 21, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. §§1.97 and 1.98 within the time specified in 37 C.F.R. §1.97(c).

A certification as specified in 37 C.F.R. §1.97 is included herein so that Applicants submit that no fee is believed due. Should any fee be deemed due, please charge the same to Deposit Account No. 22-0261 and advise us accordingly.

Attached is a copy of one document which is not yet of record in this Application and which was cited in the enclosed Search Report issued for the counterpart Application in Europe where the relevance of the documents is shown.

The English-language Search Report places the document in Category A which refers to a document which is background technology.

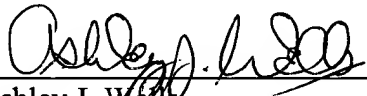
The Search Report is dated December 20, 2000, but was clearly received by Applicants on a date after that date. Accordingly, it is certified that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign Application not more than three months prior to the filing of this Information Disclosure Statement.

In view of the above, the requirements set forth in section (a)(3) of the Commissioner's Notice of April 20th, 1992, 1338 OG 37-41 (May 19th, 1992), have been complied with.

A PTO-1449 Form listing the one document is attached.

As all requirements of 37 C.F.R. §§1.97 and 1.98, and all official guidelines pertaining to Information Disclosure Statements have been complied with, it is respectfully requested that the Examiner consider the documents and make them of record.

Respectfully submitted,

  
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